

## PART B - FEE(S) TRANSMITTAL

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32137

7590

11/12/2009

PATENT DOCKET CLERK

COWAN, LIEBOWITZ & LATMAN, P.C.  
 1133 AVENUE OF THE AMERICAS  
 NEW YORK, NY 10036

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Electronically Filed on January 22, 2010

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Marlene Lang

(Depositor's name)

(Signature)

January 22, 2010

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/603,100

06/24/2003

Henri Waelbroeck

28655.004

1663

TITLE OF INVENTION: CONFIDENTIAL BLOCK TRADING SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	02/12/2010

EXAMINER	ART UNIT	CLASS-SUBCLASS
EBERSMAN, BRUCE I	3691	705-037000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

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2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Steven D. Underwood

2. Cowan, Liebowitz &amp;

3. Latman

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Pipeline Financial Group, Inc.

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

New York, New York 10017

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

☒ Issue Fee☐ Publication Fee (No small entity discount permitted)☐ Advance Order - # of Copies

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2)

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Authorized Signature

Steven D. Underwood

Date January 22, 2010

Typed or printed name

Steven D. Underwood

Registration No. 47,205

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